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TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING | Docket Number (Optional)

REJECTIO	ON OVER A PENDING SECO	OND APPLICATION		
In re Application of:	Jan Stallaert et al.			
Application No.:	09/067,640			
Filed:	04/27/1998			
For: A Method and A	Apparatus for Bundled Asset Trac	ling		
The owner*, Omega Consulting, Inc., of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173 as shortened by any terminal disclaimer filed prior to the grant of any patent granted on pending second Application Number 99/472,671 filed on 12/27/1999, of any patent on the pending second application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the second application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of any patent granted on the second application, as shortened by any terminal disclaimer filed prior to the patent grant, in the event that any such granted patent: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.				
Check either box 1 or 2 bet	low, if appropriate.			
1. For submissions etc.), the unders	1. For submissions on behalf of an organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the organization.			
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.				
2. The undersigned	d is an attorney or agent of record.	Bury V. Newber Signature	26 Mar 03 Date	
Barry S. Newberger				
_	Typed or printed name			
	ee under 37 CFR 1.20(d) is included.		•	
WARNING be includ	G: Information on this form may be led on this form. Provide credit car	ecome public. Credit card information s d information and authorization on PTC	hould not D-2038.	
	FR 3.73(b) is required if terminal discl	laimer is signed by the assignee (owner).		

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CORRECTION OF PATENTS

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TERMINAL DISCLAIMER TO OBVIATE A PROVI	SIONALDOUBLE	Docket Number (Optional)
PATENTING FEJECTION OVER A PENDING SE	CONDAPPLICATION	
In re Application of:		
Application No.		
Flled:		
For.		_
The owne *,o	percent interest in the l	nstant application hereby
disclaims, except as provided below, the terminal parapplication, which would extend beyond the expiration and 173 as shortened by any terminal disclaims.	rt of the statutory term of any pa and ate of the full statutory term ar filed prior to the grant of any p	defined in 35 U.S.C. 154 to patent granted on pending
second Application Number, file any patent so granted on the instant application shall	be enforceable only for and du	ring such period that it and
any patent grante 1 on the second application are common the instant application and is binding upon the gr	nonly owned. This agreement i antee, its successors or assigi	ns.
In making the above disclaimer, the owner does instant application that would extend to the expiration to 156 and 173 of any patent granted on the second a prior to the patent grant, in the event that any such graits held unenforceable, is found invalid by a court of contemporal disclaimed under 37 CFR 1.321, has all claimer in any manner terminated prior to the expiration disclaimer filed point outs grant.	ndate of the full statutory term a application, as shortened by ar anted patent: expires for failure ompetent jurisdiction, is statuto aims cancelled by a reexaminal	ny terminal disclaimer filed to pay a maintenance fee, orily disclaimed in whole or tion certificate, is reissued,
Check either box 1 or 2 below, if appropriate.		
For submissions on behalf of an organization etc.), the undersigned is empowered to act or act	(e.g., corporation, partnership, un n behalf of the organization.	liversity, government agency,
I hereby declare that all statements made herein on information and belief are believed to be true; and furt willful false statements and the like so made are punish Title 18 of the United States Code and that such willful or any patent issued thereon.	ther that these statements were related by fine or imprisonment, or	made with the knowledge that both, under Section 1001 of
2. The ur dersigned is an attorney of record.		
	_	
	Signature	Date
	Typed or n	rinted name
Terminal disclaimer fee under 37 CFR 1.20(d) is	·	•••••
*Certification under 37 CFR 3.73(b) is required if	terminal disclaimer is signed by the rification. See MPEP § 324.	he assignee (owner).

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the ai rount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patente, Washington, (IC 20231.

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TERMINAL DISCLAIMER TO OBVIATE A PROVI PATENTING FEJECTION OVER A PENDING SE	Docket Number (Optional)	
In re Application of: Application No. Filed: For:		
disclaims, except as provided below, the terminal palapplication, which would extend beyond the expiration 156 and 173 as shortened by any terminal disclaime second Application Number, file any patent so granted on the instant application shall any patent granted on the second application are common the instant application and is binding upon the granted application a	andate of the full statutory term of filed prior to the grant of any part of any for and during the part of any for and during the part of any for any	tent granted on the instant defined in 35 U.S.C. 154 to batent granted on pending e owner hereby agrees that ring such period that it and unswith any patent granted is.
In making the above disclaimer, the owner does instant application that would extend to the expiration to 156 and 173 of any patent granted on the second a prior to the patent grant, in the event that any such graits held unenforceable, is found invalid by a court of contemporal disclaimed under 37 CFR 1.321, has all claim or is in any manner terminated prior to the expiration disclaimer filed prior to its grant.	ndate of the full statutory term a application, as shortened by an anted patent: expires for failure ompetent jurisdiction, is statuto alms cancelled by a reexaminati	s defined in 35 U.S.C. 154 by terminal disclaimer filed to pay a maintenance fee, while disclaimed in whole or ion certificate, is reissued,
Check either box 1 or 2 below, if appropriate.		•
For submissions on behalf of an organization (etc.), the undersigned is empowered to act or		versity, government agency,
I hereby deciare that all statements made herein on information and belief are believed to be true; and furtivillful false statements and the like so made are punish: Title 18 of the United States Code and that such willful to rany patent issued thereon. 2. The undersigned is an attorney of record.	her that these statements were mable by fine or imprisonment, or i	ade with the knowledge that both, under Section 1001 of
2 The ur designed is all allotted of fection.		
-	Signature	Date
	Typed or pri	inted name
Terminal disclaimer fee under 37 CFR 1.20(d) is i	nciuqea.	
*Certification under 37 CFR 3.73(b) is required if b		e assignee (owner).

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18608-P001C1



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PATENT

-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of:	Jan Standert et al.
Serial No.:	09/067,640
Filed:	April 27, 1998
Group Art Unit:	2163
Examiner:	Geoffrey Akers
Title:	A METHOD AND APPARATUS FOR BUNDLED ASSET TRADING
<u>TE</u>	RMINAL DISCLAIMER TRANSMITTAL LETTER
Assistant Commissio Washington, D. C. 2	
Dear Sir:	
Transmitted h	erewith is the Terminal Disclaimer for the above-identified Application.
□ No addi	tional fee is required.
☑ The fee	has been calculated as shown below:

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to SPE Vincent Millin at (703) 306-4597, to Assistant Commissioner for Patents, Washington, D.C. 20231, on January 27, 2003.

Signature

Serena Beller
(Printed name of person certifying)

CLAIMS AS AMENDED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims:	47	-	47	0	x \$9=	\$ -0-
Independent Claims:	4		4	0	x \$42 =	\$ -0-
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT =				\$ -0-		

- Please charge Deposit Account No. <u>23-2426 (18608-P001C1)</u> in the amount of \$ <u>55.00</u> (Terminal Disclaimer Fee). A duplicate copy of this transmittal letter is enclosed.
- The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication, or credit any overpayment, to Deposit Account No. 23-2426 (18608-P001C1). A duplicate copy of this transmittal letter is enclosed.
 - Any additional filing fees required under 37 C.F.R. § 1.116.
 - Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

WINSTEAD SECHREST & MINICK P.C.

Attorney for Applicants

Kelly K. Kordzil

Reg. No. 36,571

5400 Renaissance Tower 1201 Elm Street Dallas, Texas 75270 (512) 370-2851



- 1 -

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jan Stallaert et al.

Serial No.:

09/067,640

Filed:

April 27, 1998

Group Art Unit:

2163

Examiner:

Geoffrey Akers

Title:

A METHOD AND APPARATUS FOR BUNDLED ASSET TRADING

January 27, 2003

TERMINAL DISCLAIMER

Assistant Commissioner for Patents Washington, D. C. 20231

The owner, Omega Consulting, Inc., of 100% interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application, which would extend beyond the expiration date of the full statutory term defined in 35 U.S.C. 154 to 156 and 173, as presently shortened by any terminal disclaimer, of prior Serial No. 09/472,671. The owner hereby agrees that any patent so granted on the instant application

CERTIFICATION UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence (along with any item referred to as being enclosed herewith) is being faxed to SPE Vincent Millin at (703) 306-4597, to Assistant Commissioner for Patents, Washington, D.C. 20231, on January 27, 2003.

Signature

Serena Beller

(Printed name of person certifying)

18608-P001C1 PATENT

shall be enforceable only for and during such period that it and the prior Serial No. 09/472,671 are commonly owned. This Agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors and assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior Serial No. 09/472,671, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 C.F.R. 1.321, has all claims cancelled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Terminal disclaimer fee under 37 C.F.R. 1.20(d) included.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned is an attorney of record

Kelly K./Kordzik

5400 Renaissance Tower 1201 Elm Street Dallas, Texas 75270-2199 (512) 370-2851